

fortable elites had already come into conflict with the people in the eighteenth century over the enclosure of common lands and the regulation of food prices. This conflict would sharpen in the revolutionary era as differences in outlook and well-being led to many misunderstandings and disappointments for both groups.

# The American Revolutionary Era, 1775–1789

The era of liberal political revolution began in the New World. The thirteen mainland colonies of British North America revolted against their home country and then succeeded in establishing a new, unified government.

Americans have long debated the meaning of their revolution. Some have even questioned whether it was a real revolution, as opposed to a war for independence. According to some scholars, the Revolution was conservative and defensive in that its demands were for the traditional

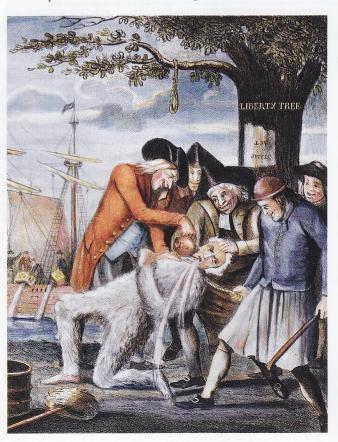
liberties of English citizens; Americans were united against the British, but otherwise they were a satisfied people, not torn by internal conflict. Other scholars have argued that, on the contrary, the American Revolution was quite radical. It split families between patriots and Loyalists and divided the country. It achieved goals that were as fully advanced as those obtained by the French in their great Revolution a few years later.

How does one reconcile these positions? Both contain large elements of truth. The American revolutionaries did believe that they were demanding only the traditional rights of English men and women. But those traditional rights were liberal rights, and in the American context they had very strong democratic and popular overtones. Thus the American Revolution was fought in the name of established ideals that were still quite radical in the context of the times. And in founding a government firmly based on liberal principles, the Americans set an example that had a forceful impact on Europe and sped up political development there.

#### The Origins of the Revolution

The American Revolution had its immediate origins in a squabble over increased taxes. The British government had fought and decisively won the Seven Years' War (see page 644) on the strength of its professional army and navy. The American colonists had furnished little real aid. The high cost of the war to the British, however, had led to a doubling of the British national debt. Anticipating further expense defending its recently conquered western lands from Native American uprisings, the British government in London set about reorganizing the empire with a series of bold, largely unprecedented measures. Breaking with tradition, the British decided to maintain a large army in North America after peace was restored in 1763 and to tax the colonies directly. In 1765

Toward Revolution in Boston The Boston Tea Party was only one of many angry confrontations between British officials and Boston patriots. On January 27, 1774, an angry crowd seized a British customs collector and then tarred and feathered him. This French engraving of 1784 commemorates the defiant and provocative action. (The Granger Collection, New York)



the government pushed through Parliament the Stamp Act, which levied taxes on a long list of commercial and legal documents, diplomas, pamphlets, newspapers, almanacs, dice, and playing cards. A stamp glued to each article indicated the tax had been paid.

This effort to increase taxes as part of a tightening up of the empire seemed perfectly reasonable to the British. Heavier stamp taxes had been collected in Great Britain for two generations, and Americans were being asked only to pay a share of their own defense costs. Moreover, Americans had been paying only very low local taxes. The Stamp Act would have doubled taxes to about 2 shillings per person per year, whereas the British paid the highest taxes in the Western world—26 shillings per person. The colonists protested the Stamp Act vigorously and violently, however, and after their rioting and bovcotts against British goods, Parliament reluctantly repealed the new tax.

As the fury over the Stamp Act revealed, much more was involved than taxes. The key questions were political. To what extent could the home government refashion the empire and reassert its power while limiting the authority of colonial legislatures and their elected representatives? Accordingly, who should represent the colonies, and who had the right to make laws for Americans? The British government replied that Americans were represented in Parliament, albeit indirectly (like most British people themselves), and that the absolute supremacy of Parliament throughout the empire could not be questioned. Many Americans felt otherwise. As John Adams put it, "A Parliament of Great Britain can have no more rights to tax the colonies than a Parliament of Paris." Thus imperial reorganization and parliamentary supremacy came to appear as grave threats to Americans' existing liberties and time-honored institutions.

Americans had long exercised a great deal of independence. In British North America, unlike England and Europe, no powerful established church existed, and personal freedom in questions of religion was taken for granted. The colonial assemblies made the important laws, which were seldom overturned by the home government. The right to vote was much more widespread than in England. In many parts of colonial Massachusetts, for example, as many as 95 percent of the adult males could vote.

Moreover, greater political equality was matched by greater social and economic equality. Neither a hereditary nobility nor a hereditary serf population existed, although the slavery of the Americas consigned blacks to a legally oppressed caste. Independent farmers were the largest group in the country and set much of its tone. In

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short, the colonial experience had slowly formed a people who felt themselves separate and distinct from the home country, and the controversies over taxation intensified those feelings.

In 1773 the dispute over taxes and representation flared up again. The British government had permitted the financially hard-pressed East India Company to ship its tea from China directly to its agents in the colonies rather than through London middlemen who sold to independent merchants in the colonies. Thus the company secured a vital monopoly on the tea trade, and colonial merchants were suddenly excluded from a lucrative business. The colonists were quick to protest.

In Boston men disguised as Indians had a rowdy "tea party" and threw the company's tea into the harbor. This led to extreme measures. The so-called Coercive Acts closed the port of Boston, curtailed local elections and town meetings, and greatly expanded the royal governor's power. County conventions in Massachusetts protested vehemently and urged that the acts be "rejected as the attempts of a wicked administration to enslave America." Other colonial assemblies joined in the denunciations. In September 1774, the First Continental Congress met in Philadelphia, where the more radical members argued successfully against concessions to the Crown. Compromise was also rejected by the British Parliament, and in April 1775 fighting began at Lexington and Concord.

### Independence

The fighting spread, and the colonists moved slowly but inevitably toward open rebellion and a declaration of independence. The uncompromising attitude of the British government and its use of German mercenaries went a long way toward dissolving long-standing loyalties to the home country and rivalries among the separate colonies. *Common Sense* (1775), a brilliant attack by the recently arrived English radical Thomas Paine (1737–1809), also mobilized public opinion in favor of independence. A runaway bestseller with sales of 120,000 copies in a few months, Paine's tract ridiculed the idea of a small island ruling a great continent. In his call for freedom and republican government, Paine expressed Americans' growing sense of separateness and moral superiority.

On July 4, 1776, the Second Continental Congress adopted the Declaration of Independence. Written by Thomas Jefferson, the Declaration of Independence boldly listed the tyrannical acts committed by George III (r. 1760–1820) and confidently proclaimed the natural rights of mankind and the sovereignty of the American

states. Sometimes called the world's greatest political editorial, the Declaration of Independence in effect universalized the traditional rights of English people and made them the rights of all mankind. It stated that "all men are created equal. . . . They are endowed by their Creator with certain unalienable rights. . . . Among these are life, liberty, and the pursuit of happiness." No other American political document has ever caused such excitement, either at home or abroad.

Many American families remained loyal to Britain; many others divided bitterly. After the Declaration of Independence, the conflict often took the form of a civil war pitting patriot against Loyalist. The Loyalists tended to be wealthy and politically moderate. Many patriots, too, were wealthy—individuals such as John Hancock and George Washington—but willingly allied themselves with farmers and artisans in a broad coalition. This coalition harassed the Loyalists and confiscated their property to help pay for the American war effort. The broad social base of the revolutionaries tended to make the liberal revolution democratic. State governments extended the right to vote to many more men (but not to any women) in the course of the war and re-established themselves as republics.

On the international scene, the French sympathized with the rebels and supplied guns and gunpowder from the beginning. The French wanted revenge for the humiliating defeats of the Seven Years' War. By 1777 French volunteers were arriving in Virginia, and a dashing young nobleman, the marquis de Lafayette (1757–1834), quickly became one of Washington's most trusted generals. In 1778 the French government offered a formal alliance to the American ambassador in Paris, Benjamin Franklin, and in 1779 and 1780 the Spanish and Dutch declared war on Britain. Catherine the Great of Russia helped organize the League of Armed Neutrality in order to protect neutral shipping rights, which Britain refused to recognize.

Thus by 1780 Great Britain was engaged in an imperial war against most of Europe as well as the thirteen colonies. In these circumstances, and in the face of severe reverses in India, in the West Indies, and at Yorktown in Virginia, a new British government decided to cut its losses. American negotiators in Paris were receptive. They feared that France wanted a treaty that would bottle up the new United States east of the Allegheny Mountains and give British holdings west of the Alleghenies to France's ally, Spain. Thus the American negotiators deserted their French allies and accepted the extraordinarily favorable terms Britain offered.

By the Treaty of Paris of 1783, Britain recognized the independence of the thirteen colonies and ceded all its



The Signing of the Declaration of Independence, July 4, 1776 John Trumbull's famous painting shows the dignity and determination of America's revolutionary leaders. An extraordinarily talented group, they succeeded in rallying popular support without losing power to more radical forces in the process. (The Granger Collection, New York)

territory between the Allegheny Mountains and the Mississippi River to the Americans. Out of the bitter rivalries of the Old World, the Americans snatched dominion over a vast territory.

### Framing the Constitution

The liberal program of the American Revolution was consolidated by the federal Constitution, the Bill of Rights, and the creation of a national republic. Assembling in Philadelphia in the summer of 1787, the delegates to the Constitutional Convention were determined to end the period of economic depression, social uncertainty, and very weak central government that had followed independence. The delegates thus decided to grant the federal, or central, government important powers: regulation of domestic and foreign trade, the right to tax, and the means to enforce its laws.

Strong rule would be placed squarely in the context of representative self-government. Senators and congressmen would be the lawmaking delegates of the voters, and the president of the republic would be an elected official. The central government would operate in Montesquieu's framework of checks and balances. The executive, legislative, and judicial branches would systematically balance one another. The power of the federal government would in turn be checked by the powers of the individual states.

When the results of the secret deliberations of the Constitutional Convention were presented to the states for ratification, a great public debate began. The opponents of the proposed constitution—the Antifederalists—charged

that the framers of the new document had taken too much power from the individual states and made the federal government too strong. Moreover, many Antifederalists feared for the personal liberties and individual freedoms for which they had just fought. In order to overcome these objections, the Federalists solemnly promised to spell out these basic freedoms as soon as the new Constitution was adopted. The result was the first ten amendments to the Constitution, which the first Congress passed shortly after it met in New York in March 1789. These amendments formed an effective bill of rights to safeguard the individual. Most of them-trial by jury, due process of law, right to assemble, freedom from unreasonable search—had their origins in English law and the English Bill of Rights of 1689. Other rights—the freedoms of speech, the press, and religion—reflected natural-law theory and the American experience.

The American Constitution and the Bill of Rights exemplified the great strengths and the limits of what came to be called **classical liberalism**. Liberty meant individual freedoms and political safeguards. Liberty also meant representative government but did not necessarily mean democracy, with its principle of one person, one vote. Equality—slaves excepted—meant equality before the law, not equality of political participation or wealth. The radicalism of liberal revolution in America was primarily legal and political, *not* economic or social.

### The Revolution's Impact on Europe

Hundreds of books, pamphlets, and articles analyzed and romanticized the American upheaval. Thoughtful Europeans noted, first of all, its enormous long-term implications for international politics. A secret report by the Venetian ambassador to Paris in 1783 stated what many felt: "If only the union of the Provinces is preserved, it is reasonable to expect that, with the favorable effects of time, and of European arts and sciences, it will become the most formidable power in the world." More generally, American independence fired the imaginations of those aristocrats who were uneasy with their hereditary privileges and those commoners who yearned for legal equality. Many Europeans believed that the world was advancing and that America was leading the way.

Europeans who dreamed of a new era were fascinated by the political lessons of the American Revolution. The Americans had begun with a revolutionary defense against tyrannical oppression, and they had been victorious. They had then shown how rational beings could assemble together to exercise sovereignty and write a permanent constitution—a new social contract. All this gave greater reality to the concepts of individual liberty and representative government and reinforced one of the primary ideas of the Enlightenment: that a better world was possible.

# The French Revolution, 1789–1791

No country felt the consequences of the American Revolution more directly than France. Hundreds of French officers served in America and were inspired by the experience. The most famous of these, the young and impressionable marquis de Lafayette, left home as a great aristocrat determined only to fight France's traditional foe, England. He returned with a love of liberty and firm republican convictions. French intellectuals and publicists engaged in passionate analysis of the federal Constitution as well as the constitutions of the various states of the new United States. The American Revolution undeniably hastened upheaval in France.

Yet the French Revolution did not mirror the American example. It was more radical and more complex, more influential and more controversial, more loved and more hated. For Europeans and most of the rest of the world, it was the great revolution of the eighteenth century, *the* revolution that opened the modern era in politics.

#### The Breakdown of the Old Order

Like the American Revolution, the French Revolution had its immediate origins in the financial difficulties of the government. The efforts of Louis XV's ministers to raise taxes had been thwarted by the high courts, led by the Parlement of Paris, which was strengthened in its opposition by widespread popular support (see page 622). When renewed efforts to reform the tax system met a similar fate in 1776, the government was forced to finance all of its enormous expenditures during the American war with borrowed money. As a result, the national debt and the annual budget deficit soared. By the 1780s, fully 50 percent of France's annual budget went for everincreasing interest payments on the ever-increasing debt. Another 25 percent went to maintain the military, while 6 percent was absorbed by the costly and extravagant king and his court at Versailles. Less than 20 percent of the entire national budget was available for the productive functions of the state, such as transportation and general administration. This was an impossible financial situation.